



County Hall
Cardiff
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AGENDA

Committee	GOVERNANCE AND AUDIT COMMITTEE
Date and Time of Meeting	TUESDAY, 19 JULY 2022, 2.00 PM
Venue	COMMITTEE ROOM 4 - COUNTY HALL, MULTI-LOCATION MEETING
Membership	Independent Members: David Hugh Thomas (Chairperson); Gavin McArthur, David Price, Dr. Janet Wademan, Councillors Ahmed, Carr, Goodway, Lewis, Moultrie, Palmer, Waldron and Williams

- | | <i>Time approx.</i> |
|--|---------------------|
| 1 Appointment of Chairperson and Deputy Chairperson | 2.00 pm |
| 2 Appointment of Committee | |
| To note that Council on 26 May 2022 appointed the following Members to this Committee: | |
| Independent Members: Hugh Thomas, Gavin McArthur, David Price, Janet Wademan | |
| Councillors: Kate Carr, Russell Goodway, Margaret Lewis, Jess Moultrie, Marc Palmer, Elaine Simmons, Daniel Waldron, Joel Williams | |
| To further note that Council on 30 June 2022 approved the replacement of Councillor Elaine Simmons by Councillor Salah Ahmed | |
| 3 Terms of Reference (Pages 5 - 8) | |
| To note the Committee's Terms of Reference (attached). | |
| 4 Apologies for Absence | |
| To receive apologies for absence. | |

- 5 Declarations of Interest**
- To be made at the start of the agenda item in question, in accordance with the Members' Code of Conduct.
- 6 Minutes** (*Pages 9 - 18*)
- To approve as a correct record the minutes of the meeting held on 15 March 2022.
- 7 Audit Wales** 2.15 pm
- 7.1** Annual Audit Plan 2022 (*Pages 19 - 36*)
- 7.2** Cardiff & Vale Pension Fund Audit Plan 2022 (*Pages 37 - 46*)
- 7.3** AW Work Programme and Timetable Update (*Pages 47 - 62*)
- 8 Finance** 2.40 pm
- 8.1** Draft Statement of Accounts 2021/22 (*Pages 63 - 270*)
- 8.2** Financial Update (*Pages 271 - 280*)
- 9 Internal Audit** 3.25 pm
- 9.1** Internal Audit Annual Report 2021/22 (*Pages 281 - 322*)
- 9.2** Audit and Investigation Team Progress Update (*Pages 323 - 404*)
- COMFORT BREAK - 3.45PM**
- 10 Performance** 3.50 pm
- 10.1** Arrangements for Handling Complaints and Compliments (including the Draft Complaints and Compliments Annual Report 2021/22) (*Pages 405 - 456*)
- 11 Governance and Risk Management** 4.05 pm
- 11.1** Governance and Audit Committee Annual Report 2021/22 (*Pages 457 - 492*)
- 11.2** Corporate Risk Management (Year-End) (*Pages 493 - 524*)
- 11.3** Draft Annual Governance Statement 2021/22 (*Pages 525 - 558*)
- 11.4** Recommendation Tracker - Reports of External Review Bodies (*Pages 559 - 574*)
- 12 Outstanding Actions** (*Pages 575 - 576*) 4.45 pm

- 13 Correspondence** (*Pages 577 - 578*)
- 14 Work Programme Update** (*Pages 579 - 580*)
- 15 Urgent Items (if any)**
- 16 Date of next meeting**

The next meeting is scheduled for 27th September 2022 (TBC)

Davina Fiore
Director Governance & Legal Services
Date: Wednesday, 13 July 2022
Contact: Graham Porter,
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